

GAU 1636
Box 580
PATENT

Customer Number 22,852
Attorney Docket No. 3806.0448



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Joel CROUZET, *et al.*

Serial No.: 09/369,883

Filed: August 9, 1999

For: FORMULATION OF STABILISED
CATIONIC TRANSFECTION
AGENT(S)/NUCLEIC ACID
PARTICLES

Group Art Unit: 1636

Examiner: W. Sandals

RECEIVED
APR 13 2001
TECH CENTER 1600/2900

#10/D
Zeta
April 16, 01
RECEIVED
APR 12 2001
TECH CENTER 1600/2900

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

**SUBMISSION OF SEQUENCE LISTING AND
VERIFICATION UNDER 37 C.F.R. § 1.821**

Applicants file this Submission in reply to the Office action mailed March 12, 2001. This Submission is due by April 12, 2001, and is timely filed.

Please replace the paragraph beginning on line 4 of page 22 with the following new paragraph:

Very recently, the applicant has demonstrated that it was also particularly advantageous to use, as adjuvant in transfection compositions, a compound involved, directly or otherwise, in the condensation of nucleic acids. This compound consists, completely or in part, of peptide units (KTPKKAKKP) (SEQ ID NO: 1) and/or (ATPAKKAA) (SEQ ID NO: 2), it being possible for the number of units to vary between 2 and 10. Such an agent can also be derived from a portion of a histone, a nucleolin, a protamine and/or one of their derivatives (WO 96/25508). Such a compound may be advantageously incorporated into the claimed composition.

1

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L.L.P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000